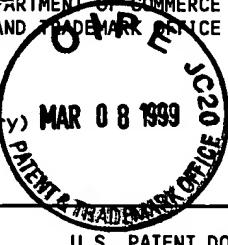


|   |  |   |  |                                  |                          |
|---|--|---|--|----------------------------------|--------------------------|
| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)  |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                        |  | ATTY. DOCKET NO.<br>08993/009001 | SERIAL NO.<br>09/243,107 |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use several sheets if necessary) |  |  |  | APPLICANT:<br>Schwabe et al.     |                          |
| (37 CFR 1.98(b))  |  |   |  | FILING DATE<br>2/2/99            | GROUP                    |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | PATENT NUMBER |   |   |   |   | ISSUE DATE | PATENTEE |   | CLASS      | SUBCLASS | FILING DATE IF APPROPRIATE |             |
|------------------|----|---------------|---|---|---|---|------------|----------|---|------------|----------|----------------------------|-------------|
| TM               |    | AA            | 5 | 3 | 6 | 7 | 6          | 8        | 5 | 11/22/1994 | Gosling  | 395                        | 700         |
| TK               |    | AB            | 5 | 8 | 0 | 2 | 5          | 1        | 9 | 9/1/1998   | De Jong  | 707                        | 100         |
|                  | AC |               |   |   |   |   |            |          |   |            |          |                            |             |
|                  | AD |               |   |   |   |   |            |          |   |            |          |                            |             |
|                  | AE |               |   |   |   |   |            |          |   |            |          |                            |             |
|                  | AF |               |   |   |   |   |            |          |   |            |          |                            | MAR 15 1999 |
|                  | AG |               |   |   |   |   |            |          |   |            |          |                            | Group 2700  |
|                  | AH |               |   |   |   |   |            |          |   |            |          |                            |             |
|                  | AI |               |   |   |   |   |            |          |   |            |          |                            |             |
|                  | AJ |               |   |   |   |   |            |          |   |            |          |                            |             |
|                  | AK |               |   |   |   |   |            |          |   |            |          |                            |             |

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

|  |    | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|  |    |                 |                  |                          |       |          | YES         | NO |
|  | AL |                 |                  |                          |       |          |             |    |
|  | AM |                 |                  |                          |       |          |             |    |
|  | AN |                 |                  |                          |       |          |             |    |
|  | AO |                 |                  |                          |       |          |             |    |
|  | AP |                 |                  |                          |       |          |             |    |

## OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

|    |  |
|----|--|
| AQ |  |
| AR |  |
| AS |  |

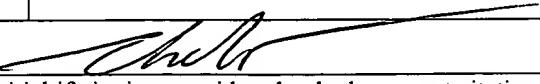
EXAMINER

DATE CONSIDERED

9/24/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|   |              |         |               |                                      |                          |             |
|---|--------------|---------|---------------|--------------------------------------|--------------------------|-------------|
| Form PTO 1449<br>(Rev. 2-32) U.S. Department of Commerce<br>Patent and Trademark Office   |              |         |               | Atty. Docket No.<br>SUN-P3732        | Serial No.<br>09/243,107 |             |
| Information Disclosure Statement by Applicant<br><i>JAN 09 2002</i>   |              |         |               | Applicant: Schwabe, et al.           |                          |             |
| (Use several sheets if necessary)   |              |         |               | Filed: February 2, 1999 Group: 27874 |                          |             |
| <b>U.S. Patent Documents</b>  |              |         |               |                                      |                          |             |
|   | Document No. | Date    | Name          | Class                                | Subclass                 | Filing Date |
| T-H   | A 5,668,999  | 9/16/97 | Gosling       | 395                                  | 704                      | 12/20/94    |
| T-H   | B 5,732,263  | 3/24/98 | Havens et al. | 395                                  | 614                      | 10/3/95     |
| T-H   | C 6,092,147  | 7/18/00 | Levy et al.   | 711                                  | 6                        | 4/15/97     |
| <b>Foreign Documents</b>  |              |         |               |                                      |                          |             |
| Translation   |              |         |               |                                      |                          |             |
| Init.   | Document No. | Date    | Country       | Class                                | Subclass                 | Yes No      |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
| <b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
|   |              |         |               |                                      |                          |             |
| Examiner<br><i>Melba</i>  |              |         |               | Date Considered<br><i>9/24/02</i>    |                          |             |
| Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. |              |         |               |                                      |                          |             |

|   |   |        |         |                                     |          |                          |    |
|---|---|--------|---------|-------------------------------------|----------|--------------------------|----|
| Form PTO 1449<br>(Rev. 2-32) U.S. Department of Commerce<br>Patent and Trademark Office   |   |        |         | Atty. Docket No.<br>SUN-P3732       |          | Serial No.<br>09/243,107 |    |
| Information Disclosure Statement by Applicant<br><br>(Use several sheets if necessary)  |   |        |         | Applicant: Schwabe, et al.          |          |                          |    |
| JAN 22 2002   |   |        |         | Filed: February 2, 1999 Group: 2784 |          |                          |    |
| <b>U.S. Patent Documents</b>  |   |        |         |                                     |          |                          |    |
| Init.   | Document No.  | Date   | Name    | Class                               | Subclass | Filing Date              |    |
| TR. AMARIA  | 5,628,016   | 5/6/97 | Kukol   | 395                                 | 704      | 6/15/94                  |    |
| <b>RECEIVED</b>   |   |        |         |                                     |          |                          |    |
| FEB 05 2002   |   |        |         |                                     |          |                          |    |
| Technology Center 2100  |   |        |         |                                     |          |                          |    |
| <b>Foreign Documents</b>  |   |        |         |                                     |          |                          |    |
| Translation   |   |        |         |                                     |          |                          |    |
| Init.   | Document No.  | Date   | Country | Class                               | Subclass | Yes                      | No |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
| <b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
|   |   |        |         |                                     |          |                          |    |
| Examiner  |  |        |         | Date Considered<br>9/24/02          |          |                          |    |
| Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. |   |        |         |                                     |          |                          |    |

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.



|   |  |  |   |
|---|--|--|---|
| Form 1449 (Modified)<br><br>Information Disclosure<br>Statement By Applicant<br><br>(Use Several Sheets if Necessary) |  | Atty Docket No.<br>SUN1P266/P3732<br>Applicant:<br>Schwabe et al.<br>Filing Date<br>February 2, 1999 | Application No.:<br>09/243,107<br><br>Group<br>Unassigned |
|---|--|--|---|

#### U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date            |
|------------------|-----|------------|------|----------|-------|-----------|------------------------|
|                  | A   |            |      |          |       |           |                        |
|                  | B   |            |      |          |       |           |                        |
|                  | C   |            |      |          |       |           | RECEIVED               |
|                  | D   |            |      |          |       |           |                        |
|                  | E   |            |      |          |       |           | DEC 05 2000            |
|                  | F   |            |      |          |       |           |                        |
|                  | G   |            |      |          |       |           | Technology Center 2100 |
|                  | H   |            |      |          |       |           |                        |
|                  | I   |            |      |          |       |           |                        |

#### Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
| T.M              | J   | EP0810522A2  | 12/03/97         | EPO                      |       |           |             |    |
|                  | K   |              |                  |                          |       |           |             |    |
|                  | L   |              |                  |                          |       |           |             |    |
|                  | M   |              |                  |                          |       |           |             |    |
|                  | N   |              |                  |                          |       |           |             |    |

#### Other Documents

|                  |     |  |  |  |
|------------------|-----|--|--|--|
| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication   |  |  |
| T.M              | O   | Hof et al., Zero-Overhead Exception Handling Using Metaprogramming, 1997, SOFSEM 97: Theory and Practice of Informatics. 24 <sup>th</sup> Seminar on Current Trends in Theory and Practice of Informatics. |  |  |
| T.H              | P   | Lindholm & Yellin: The Java Virtual Machine Specification, October 3, 1996.  |  |  |
|                  | Q   |  |  |  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.